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# 3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,478	11/09/2001	Paul Mueller	CH2M.07

25871  
SWANSON & BRATSCUN L.L.C.  
1745 SHEA CENTER DRIVE  
SUITE 330  
HIGHLANDS RANCH, CO 80129

CONFIRMATION NO. 3164  
FORMALITIES LETTER  
\*OC00000007166817\*

Date Mailed: 12/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

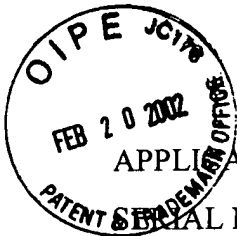
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 RHARIS1 00000047 09991478  
01 FC:101 740.00 OP  
02 FC:105 130.00 OP



Attorney Docket No. CH2M.07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: MUELLER ET AL.

SERIAL NO.: 09/991,478

FILED: NOVEMBER 11, 2001

TITLE: METHOD AND APPARATUS FOR  
TREATMENT OF DRINKING WATER

EXAMINER:

ART UNIT: 1723

CONFIRMATION NO.: 3164

#3

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Box Missing Parts

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Dear Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

1. The applicant hereby replies to the "Notice to File Missing Parts of Nonprovisional Application," mailed December 7, 2001. A copy of the Notice is enclosed. In reply to the Notice, the applicant submits:

- (a) the basic filing fee of \$740.00
- (b) surcharge fee of \$135.00;
- (c) assignment recordation fee of \$40.00;
- (d) a combined declaration and power of attorney signed by the inventors; and
- (e) assignment and assignment recordation form cover sheet.

2. Enclosed is a check for \$910.00. The check covers the filing fee (\$740.00), the surcharge (\$135.00) and the fee for recording the assignment (\$40.00). The foregoing fees are calculated as a small entity.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on February 6, 2002

Signature:

Name: Elizabeth A. McArthur

The undersigned hereby authorizes the charge of any required fees not included or any deficiency of fees submitted herewith to be charged to deposit account No. 19-5117.

Respectfully submitted,



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Thomas D. Bratschun, #32,966  
Swanson & Bratschun, L.L.C.  
1745 Shea Center Drive, Suite 330  
Highlands Ranch, Colorado 80129  
(303) 268-0066

cc: Dan Smith  
Paul Mueller  
Anthony G. Myers  
Intellectual Property Office

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